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HyperNova introduction

2023-07-25 0xPARC, London

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IVC

For a function F, with initial input z_0 , an IVC scheme allows a prover to produce a proof π_i for the statement $z_i = F^{(i)}(z_0)$, given a proof π_{i-1} for the statement $z_{i-1} = F^{(i-1)}(z_0)$ TODO add draw TODO add reference to Valiant paper (2008)

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Recursion before folding schemes

We used to use recursive SNARKs to achieve IVC.

- Prove verification in circuit: inside a circuit, verify another proof
 - $\circ~$ eg. verifying a Groth16 proof inside a Groth16 circuit.
- $\circ~$ Amortized accumulation

 \circ eg. Halo

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R1CS refresher

R1CS instance: $(\{A,B,C\}\in\mathbb{F}^{m\times n},\ io,\ m,\ n,\ l)$, such that for $z=(io\in\mathbb{F}^l,1,w\in\mathbb{F}^{m-l-1})\in\mathbb{F}^m$,

$$Az\circ Bz=Cz$$

Typically we use some scheme to prove that the previous equation is fullfilled by some private w (eg. Groth16, Marlin, Spartan, etc).

Preliminaries	
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Random linear combination

Combine 2 instances together through a random linear comibnation, and the outputted instance will still satisfy the relation.

- \circ Have 2 values x_1, x_2 .
- $\circ \ \operatorname{Set} \, r \in ^R \mathbb{F}$
- Compute $x_3 = x_1 + r \cdot x_2$.

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Combined with homomorphic commitments

• We can do random linear combinations with the commitments and their witnesses, and the output can still be opened

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Folding schemes

We're not verifying the entire proof

- $\circ~$ Take n instances and 'batch' them together
 - $\circ\;$ Folds $k\;$ (eg. 2) instances (eg. R1CS instances) and their respective witnesses into a signle one
- $\circ\,$ At the end of the chain of folds, we just prove that the last fold is correct through a SNARK
 - $\circ~$ Which implies that all the previous folds were correct

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In Nova: folding without a SNARK, we just reduce the satisfiability of the 2 inputted instances to the satisfiability of the single outputted one.

[TODO image of multiple folding iterations]

Relaxed R1CS

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We work with *relaxed R1CS*

$$Az \circ Bz = u \cdot Cz + E$$

(= R1CS when u = 1, E = 0)

 $\circ\,$ main idea: allows us to fold, but accumulates cross terms

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- $\circ\,$ main idea: allows us to fold, but accumulates cross terms
- when we do the *relaxed* of higher degree equations (eg. plonkish), the cross terms grow (eg. Sangria with higher degree gates)

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NIFS - setup

V and P: committed relaxed R1CS instances

$$\varphi_1 = (\overline{E}_1, u_1, \overline{w}_1, x_1)$$
$$\varphi_2 = (\overline{E}_2, u_2, \overline{w}_2, x_2)$$

P: witnesses

$$egin{aligned} &(E_1,r_{E_1},w_1,r_{w_1})\ &(E_2,r_{E_2},w_2,r_{w_2}) \end{aligned}$$

Let $z_1 = (w_1, x_1, u_1)$ and $z_2 = (w_2, x_2, u_2)$.

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NIFS

• V, P: folded instance
$$\varphi = (\overline{E}, u, \overline{w}, x)$$

 $\overline{E} = \overline{E}_1 + r\overline{T} + r^2\overline{E}_2$
 $u = u_1 + ru_2$
 $\overline{w} = \overline{w}_1 + r\overline{w}_2$
 $x = x_1 + rx_2$

 \circ P: folded witness (E, r_E, w, r_W)

$$E = E_1 + rT + r^2 E_2$$

$$r_E = r_{E_1} + r \cdot r_T + r^2 r_{E_2}$$

$$w = w_1 + r w_2$$

$$r_W = r_{w_1} + r \cdot r_{w_2}$$

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$$w = w_1 + r w_2$$

$$r_W = r_{w_1} + r \cdot r_{w_2}$$

Note: T are the cross-terms comming from combining the two R1CS instances from

$$Az \circ Bz = A(z_1 + r \cdot z_2) \circ B(z_1 + rz_2)$$

= $Az_1 \circ Bz_1 + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) + r^2(Az_2 \circ Bz_2) = \dots$

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NIFS

$$E = E_1 + r \underbrace{\left(Az_1 \circ Bz_2 + Az_2 \circ Bz_1 - u_1Cz_2 - u_2Cz_1\right)}_{\text{cross-terms}} + r^2 E_2$$

 $Az \circ Bz = uCz + E$ will hold for valid z (which comes from valid z_1, z_2). [TODO add image of function F' with F inside with extra checks]

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NIFS

Each fold: $2 EC_{Add} + 1 EC_{Mul} + 1 hash$ 20k R1CS constraints (using curve cycles) (so folding makes sense when we have a circuit with more than $2 \cdot 20k$ constraints)

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NIFS

Each fold: $2 EC_{Add} + 1 EC_{Mul} + 1 hash$

20k R1CS constraints (using curve cycles)

(so folding makes sense when we have a circuit with more than $2\cdot 20k$ constraints)

After all the folding iterations, Nova generates a SNARK proving the last folding instance.

In Nova implementation, they use Spartan.

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Benchmarks

Benchmarks that Oskar, Carlos, et al did during the Vietnam residency in April https://hackmd.io/u3qM9s_YR1emHZSg3jteQA

Size	Constraints	Time	
2KB	883k	320ms	
4KB	1.7m	521ms	
8KB	3.4m	1s	
16KB	6.8m	1.9s	
32KB	13.7m	4.1s	
eg. for 8kb, ×100 Halo2 and Plonky2			

(this is for the folding, without the last snark)

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SuperNova

- iteration on Nova, combining *different circuits* in a single one with *selectors*
- so we can work with a big circuit with *subcircuits* without paying the whole size cost on each iteration
- in IVC terms: fold multiple F_i in a single F' (in Nova was a single F in F')

This is useful for example for a VM, doing one F_i for each opcode

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R1CS to CCS example

- $\circ~$ Kind of a generalization of constraint systems
- Can translate R1CS,Plonk,AIR to CCS

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R1CS to CCS example

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CCS instance
$$S_{CCS} = (m, n, N, l, t, q, d, M, S, c)$$

where we have the same parameters than in S_{R1CS} , but additionally:
 $t = |M|, q = |c| = |S|, d = \max$ degree in each variable.

 $\label{eq:R1CS-to-CCS parameters} \begin{array}{l} n=n, \ m=m, \ N=N, \ l=l, \ t=3, \ q=2, \ d=2, \\ M=\{A,B,C\}, \ S=\{\{0, \ 1\}, \ \{2\}\}, \ c=\{1,-1\} \end{array}$

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R1CS to CCS example

- o Kind of a generalization of constraint systems
- $\circ~$ Can translate R1CS,Plonk,AIR to CCS

 $\begin{array}{lll} \text{CCS instance} & S_{CCS} = (m,n,N,l,t,q,d,M,S,c) \\ & \text{where we have the same parameters than in } S_{R1CS}\text{, but additionally:} \\ & t = |M|, \ q = |c| = |S|, \ d = \max \text{ degree in each variable.} \end{array}$

R1CS-to-CCS parameters
$$n = n$$
, $m = m$, $N = N$, $l = l$, $t = 3$, $q = 2$, $d = 2$, $M = \{A, B, C\}$, $S = \{\{0, 1\}, \{2\}\}$, $c = \{1, -1\}$

The CCS relation check:

$$\sum_{i=0}^{q-1} c_i \cdot \bigcirc_{j \in S_i} M_j \cdot z == 0$$

In our R1CS-to-CCS parameters is equivalent to

$$c_0 \cdot ((M_0 z) \circ (M_1 z)) + c_1 \cdot (M_2 z) == 0$$

$$\implies 1 \cdot ((Az) \circ (Bz)) + (-1) \cdot (Cz) == 0$$

$$\implies ((Az) \circ (Bz)) - (Cz) == 0$$

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Multifolding

- Nova: 2-to-1 folding
- $\circ~$ HyperNova: multifolding, k-to-1 folding
- $\circ~$ We fold while through a SumCheck proving the correctness of the fold

SumCheck's polynomial work is trivial, most of the cost comes from Poseidon hash in the transcript [TODO WIP section]

Multifolding - Overview

- 1. $V \to P : \gamma \in^{R} \mathbb{F}, \ \beta \in^{R} \mathbb{F}^{s}$
- 2. $V: r'_x \in {}^R \mathbb{F}^s$
- 3. $V \leftrightarrow P$: sum-check protocol: $c \leftarrow \langle P, V(r'_x) \rangle(g, s, d+1, \underbrace{\sum_{j \in [t]} \gamma^j \cdot v_j})$, where:

$$\begin{split} g(x) &:= \underbrace{\left(\sum_{j \in [t]} \gamma^j \cdot L_j(x)\right)}_{\text{LCCCS check}} + \underbrace{\gamma^{t+1} \cdot Q(x)}_{\text{CCCS check}} \\ L_j(x) &:= \widetilde{eq}(r_x, x) \cdot \underbrace{\left(\sum_{\substack{y \in \{0,1\}^{s'} \\ \text{LCCCS check}}} \widetilde{M}_j(x, y) \cdot \widetilde{z}_1(y)\right)}_{\text{LCCCS check}} \right) \\ Q(x) &:= \widetilde{eq}(\beta, x) \cdot \underbrace{\left(\sum_{i=1}^q c_i \cdot \prod_{j \in S_i} \left(\sum_{y \in \{0,1\}^{s'} \\ \text{CCCS check}} \widetilde{M}_j(x, y) \cdot \widetilde{z}_2(y)\right)}_{\text{CCCS check}} \right) \end{split}$$

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Multifolding - Overview

$$\begin{array}{ll} \text{4.} & P \rightarrow V \colon ((\sigma_1, \dots, \sigma_t), (\theta_1, \dots, \theta_t)), \, \text{where} \, \forall j \in [t], \\ & \sigma_j = \sum_{y \in \{0,1\}^{S'}} \widetilde{M}_j(r'_x, y) \cdot \widetilde{z}_1(y) \\ & \theta_j = \sum_{y \in \{0,1\}^{S'}} \widetilde{M}_j(r'_x, y) \cdot \widetilde{z}_2(y) \end{array}$$

5.
$$\begin{array}{c} \mathsf{V}: e_1 \leftarrow \widetilde{eq}(r_x, r'_x), e_2 \leftarrow \widetilde{eq}(\beta, r'_x) \\ \mathsf{check:} \\ c = \left(\sum_{j \in [t]} \gamma^j \cdot e_1 \cdot \sigma_j\right) + \gamma^{t+1} \cdot e_2 \cdot \left(\sum_{i=1}^q c_i \cdot \prod_{j \in S_i} \theta_j\right) \\ \end{array}$$

$$c = \left(\sum_{j \in [t]} \gamma^{j} \cdot e_1 \cdot \sigma_j\right) + \gamma^{-1} \cdot e_2 \cdot \left(\sum_{i=1}^{j} c_i \cdot \sum_{i=1}^{j} c_i \cdot \sum_{i=1}$$

6. $V \to P : \rho \in \mathbb{R} \mathbb{F}$

7. V, P: output the folded LCCCS instance $(C', u', x', r'_x, v'_1, \dots, v'_t)$, where $\forall i \in [t]$:

$$\begin{split} C' &\leftarrow C_1 + \rho \cdot C_2 \\ u' &\leftarrow u + \rho \cdot 1 \\ \mathbf{x}' &\leftarrow \mathbf{x}_1 + \rho \cdot \mathbf{x}_2 \\ v'_i &\leftarrow \sigma_i + \rho \cdot \theta_i \end{split}$$

8. P: output folded witness and the folded r'_w :

$$\begin{split} \tilde{w}' &\leftarrow \tilde{w}_1 + \rho \cdot \tilde{w}_2 \\ r'_w &\leftarrow r_{w_1} + \rho \cdot r_{w_2} \end{split}$$

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Mysteries & unsolved things

- $\circ~$ how HyperNova compares to Protostar
- $\circ\,$ prover knows the full witness [TODO update/rm this] [TODO WIP section]

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- HyperNova: https://eprint.iacr.org/2023/573
- multifolding PoC on arkworks: github.com/privacy-scaling-explorations/multifolding-poc
- PSE hypernova WIP github.com/privacy-scaling-explorations/Nova

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