Commutative Algebra notes

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Abstract

Notes taken while studying Commutative Algebra, mostly from Atiyah & MacDonald book [1] and Reid's book [2]. For the exercises, I follow the assignments listed at [3].

Usually while reading books and papers I take handwritten notes in a notebook, this document contains some of them re-written to $LaTeX$.

The proofs may slightly differ from the ones from the books, since I try to extend them for a deeper understanding.

Contents

1 Ideals

1.1 Definitions

Definition . ideal $I \subset R$ (R ring) such that $0 \in I$ and $\forall x \in I,\ r \in R,\ xr, rx \in I$.
ie. I absorbs products in R.

Definition . prime ideal if $a, b \in R$ with $ab \in P$ and $P \neq R$ (P a prime ideal), implies $ainP$ or $b \in P$.

Definition . principal ideal generated by a single element, (a).
(a): principal ideal, the set of all multiples xa with $x \in R$.

Definition . maximal ideal $\mathfrak{m} \subset A$ (A ring) with $m \neq A$ and there is no ideal I strictly between $\mathfrak{m}$ and A. ie. if $\mathfrak{m}$ maximal and $\mathfrak{m} \subseteq I \subseteq A$, either $\mathfrak{m} = I$ or $I = A$.

Definition . unit $x \in A$ such that $xy = 1$ for some $y \in A$. ie. element *which divides 1.*

Definition . zerodivisor $x \in A$ such that $\exists 0 \neq y \in A$ such that $xy = 0 \in A$. ie. *x divides 0.*.
If a ring does not have zerodivisors is an integral domain.

Definition . prime spectrum - $Spec(A)$ set of prime ideals of A. ie.

$$Spec(A) = \{P \mid P \subset A \text{ is a prime ideal}\}$$

Definition . integral domain Ring in which the product of any two nonzero elements is nonzero.
ie. no zerodivisors.
ie. $\forall\ 0 \neq a,\ 0 \neq b \in A,\ ab \neq 0 \in A$.
Every field is an integral domain, not the converse.

Definition . principal ideal domain - PID integral domain in which every ideal is principal. ie. ie. $\forall I \subset R,\ \exists\ a \in I$ such that $I = (a) = \{ra \mid r \in R\}$.

Definition . nilpotent $a \in A$ such that $a^n = 0$ for some $n > 0$.

Definition . nilrad A set of all nilpotent elements of A; is an ideal of A.
if $nilradA = 0 \implies A$ has no nonzero nilpotents.

$$nilradA = \bigcap_{P \in Spec(A)} P$$

Definition . idempotent $e \in A$ such that $e^2 = e$.

Definition . radical of an ideal

$$radI = \{f \in A | f^n \in I \text{ for some} n\}$$

$radI$ is an ideal.
$nilradA = rad0$
$radI = \bigcap_{\substack{P \in \mathrm{Spec}(A) \\ P \supset I}} P$

Definition . local ring A *local ring* has a unique maximal ideal.

Notation: local ring A, its maximal ideal $\mathfrak{m}$, residue field $K = A/\mathfrak{m}$:

$$A \supset \mathfrak{m} \text{ or } (A, \mathfrak{m}) \text{ or } (A, \mathfrak{m}, K)$$

1.2 Z and K[X], two Principal Ideal Domains

Lemma . $\mathbb{Z}$ is a PID.

Proof. Let I a nonzero ideal of $\mathbb{Z}$.

Since $I \neq \{0\}$, there is at least one nonzero integer in I. Choose the smallest element of I, namely d.

Observe that $(d) \subseteq I$, since $d \in I$. Then, every multiple $nd \in I$, since I is an ideal.

Take $a \in I$. By the Euclidean division algorithm in $\mathbb{Z}$, $a = qd + r$, with $q, r \in \mathbb{Z}$ and $0 \leq r \leq d$.

Then $r = a - qd \in I$, but d was chosen to be the smallest positive element of I, so the only possibility is $r = 0$.

Hence, $a = qd$, so $a \in (d)$, giving $I \subseteq (d)$.

Since we had $(d) \subseteq I$ and now we got $I \subseteq (d)$, we have $I = (d)$, so every ideal of $\mathbb{Z}$ is principal. Thus $\mathbb{Z}$ is a Principal Ideal Domain(PID). □

Lemma . $K[X]$ is a PID.

Proof. This proof follows very similarly to the previous proof.

Let K be a field, $K[X]$ a polynomial ring.

Take $\{0\} \neq I \subseteq K[X]$.

Since $I \neq \{0\}$, there is at least one non-zero polynomial in I.

Let $p(X) \in I$ be of minimal degree among nonzero elements of I.

Observe that $(p(X)) \subseteq I$, because $p(X) \in I$ and I is an ideal.

Let $f(X) \in I$. By Euclidean division algorithm in $K[X]$, $\exists q, r \in K[X]$ such that $f(X) = q(X) \cdot p(X) + r(X)$ with eithr $r(X) = 0$ or $deg(r) < deg(p)$.

Since $f, p \in I$, then $r(X) = f(X) - q(X) \cdot p(X) \in I$

If $r(X) \neq 0$, then $deg(r) < deg(p)$, which contradicts the minimality of $deg(p)$ in I.

Therefore, $r(X) = 0$, thus $f(X) = q(X) \cdot p(X)$, hence $f(X) \in (p(X))$. Henceforth, $I \subseteq (p(X))$.

Then, since $(p(X)) \subseteq I$ and $I \subseteq (p(X))$, we have that $I = (p(X))$.

So every ideal of $K[X]$ is principal; thus $K[X]$ is a PID.

□

1.3 Zorn's lemma and Jacobson radicals

Let Σ be a partially orddered set. Given subset $S \subset \Sigma$, an *upper bound* of S is an element $u \in \Sigma$ such that $s < u \forall s \in S$.

A *maximal element* of Σ, is $m \in \Sigma$ such that $m < s$ does not hold for any $s \in \Sigma$.

A subset $S \subset \Sigma$ is *totally ordered* if for every pair $s_1, s_2 \in S$, either $s_1 \leq s_2$ or $s_2 \leq s_1$.

Lemma R.1.7. Zorn's lemma. Suppose Σ a nonempty partially ordered set (ie. we are given a relation $x \leq y$ on Σ), and that any totally ordered subset $S \subset \Sigma$ has an upper bound in Σ.

Then Σ has a maximal element.

Theorem AM.1.3. Every ring $A \neq 0$ has at least one maximal ideal.

Proof. By Zorn's lemma R.1.7. □

Corollary AM.1.4. if $I \neq (1)$ an ideal of A, $\exists$ a maximal ideal of A containing I.

Corollary AM.1.5. Every non-unit of A is contained in a maximal ideal.

Definition . Jacobson radical The *Jacobson radical* of a ring A is the intersection of all the maximal ideals of A.

Denoted $Jac(A)$.

$Jac(A)$ is an ideal of A.

Proposition AM.1.9. $x \in Jac(A)$ iff $(1 - xy)$ is a unit in A, $\forall y \in A$.

Proof. Suppose $1 - xy$ not a unit.

By AM.1.5, $1 - xy \in \mathfrak{m}$ for $\mathfrak{m}$ some maximal ideal.

But $x \in Jac(A) \subseteq \mathfrak{m}$, since $Jac(A)$ is the intersection of all maximal ideals of A.

Hence $xy \in \mathfrak{m}$, and therefore $1 \in \mathfrak{m}$, which is absurd, thus $1 - xy$ is a unit.

Conversely:

Suppose $x \notin \mathfrak{m}$ for some maximal ideal $\mathfrak{m}$.

Then $\mathfrak{m}$ and x generate the unit ideal (1), so that we have $u + xy = 1$ for some $u \in \mathfrak{m}$ and some $y \in A$.

Hence $1 - xy \in \mathfrak{m}$, and is therefore not a unit. □

2 Modules

2.1 Modules concepts

Let A be a ring. An A-module is an Abelian group M with a multiplication map

$$\begin{aligned} A \times M &\longrightarrow M \\ (f, m) &\longmapsto fm \end{aligned}$$

satisfying $\forall\ f, g \in A, \quad m, n \in M$.

i. $f(m \pm n) = fm \pm fn$

ii. $(f \pm g)m = fm \pm gm$

iii. $(fg)m = f(gm)$

iv. $1_A m = m$

Let $\psi : M \longrightarrow M$ an A-linear endomorphism of M.
$A[\psi] \subset EndM$ is the subring geneerated by A and the action of ψ.

- since ψ is A-linear, $A[\psi]$ is a commutative ring.
- M is a module over $A[\psi]$, so ψ beomes multiplication by a ring element.

2.2 Cayley-Hamilton theorem, Nakayama lemma, and corollaries

Proposition AM.2.4. (Cayley-Hamilton Theorem) Let M a fingen A-module. Let $\mathfrak{a}$ an ideal of A, let ψ an A-module endomorphism of M such that $\psi(M) \subseteq \mathfrak{a}M$.

Then ψ satisfies

$$\psi^n + a_1\psi^{n-1} + \ldots + a_{n-1}\psi + a_n = 0$$

with $a_i \in \mathfrak{a}$.

Proof. Since M fingen, let $\{x_1, \ldots, x_n\}$ be generators of M.
By hypothesis, $\psi(M) \subseteq \mathfrak{a}M$; so for any generator x_i, it's image $\psi(x_i) \in \mathfrak{a}M$.

Any element in $\mathfrak{a}M$ is a linear combination of the generators with coefficients in the ideal $\mathfrak{a}$, thus

$$\psi(x_i) = \sum_{j=1}^{n} a_{ij}x_j$$

with $a_{ij} \in \mathfrak{a}$.

Thus, for a module with n generators, we have n different $\psi(x_i)$ equations:

$$\left.\begin{array}{l} \psi(x_1) = a_{1,1}x_1 + a_{1,2}x_2 + \ldots + a_{1,n}x_n \\ \psi(x_2) = a_{2,1}x_1 + a_{2,2}x_2 + \ldots + a_{2,n}x_n \\ \quad \ldots \\ \psi(x_n) = a_{n,1}x_1 + a_{n,2}x_2 + \ldots + a_{n,n}x_n \end{array}\right\} \begin{array}{l} \text{n elements } \psi(x_i) \in \mathfrak{a}M \text{ which} \\ \text{are linear combinations of the} \\ n \text{ generators of } M \end{array}$$

Next step: rearrange in order to use matrix algebra.

Observe that each row equals 0, and rearranging the elements at each row we get

$$\left.\begin{array}{l}\psi(x_1) - (a_{1,1}x_1 + a_{1,2}x_2 + \ldots + a_{1,n}x_n) = 0 \\ \psi(x_2) - (a_{2,1}x_1 + a_{2,2}x_2 + \ldots + a_{2,n}x_n) = 0 \\ \ldots \\ \psi(x_n) - (a_{n,1}x_1 + a_{n,2}x_2 + \ldots + a_{n,n}x_n) = 0\end{array}\right\}$$

Then, group the x_i terms together; as example, take the row $i = 1$:

$$(\psi - a_{1,1})x_1 - a_{1,2}x_2 - \ldots - a_{1,n}x_n = 0$$

$$\left.\begin{array}{l}(\psi - a_{1,1})x_1 - a_{1,2}x_2 - \ldots - a_{1,n}x_n = 0 \\ -a_{2,1}x_1 + (\psi - a_{2,2})x_2 - \ldots - a_{2,n}x_n = 0 \\ \ldots \\ -a_{1,1}x_1 - a_{1,2}x_2 - \ldots + (\psi - a_{1,n})x_n = 0\end{array}\right\}$$

So, $\forall i \in [n]$, as a matrix:

$$\begin{pmatrix}\psi - a_{1,1} & -a_{1,2} & \ldots & -a_{1,n} \\ -a_{2,1} & \psi - a_{2,2} & \ldots & -a_{2,n} \\ \vdots & & & \\ -a_{n,1} & -a_{n,2} & \ldots & \psi - a_{n,n}\end{pmatrix}\begin{pmatrix}x_1 \\ x_2 \\ \vdots \\ x_n\end{pmatrix} = \begin{pmatrix}0 \\ 0 \\ \vdots \\ 0\end{pmatrix}$$

Denote the previous matrix by Φ. Let m denote the vector $(x_1, x_2, \ldots, x_n)^T$ (ie. the vector of generators of the A-module M).

Then we can write the previous equality as

$$\Phi \cdot m = 0 \tag{1}$$

We know that

$$adj(\Phi)\Phi = det(\Phi)I \tag{2}$$

(aka. *fundamental identity for the adjugate matrix*).

So if at (1) we multiply both sides by $adj(\Phi)$,

$$\begin{array}{c}adj(\Phi) \cdot \Phi \cdot m = 0 \\ \text{(recall from (2): } adj(\Phi)\Phi = det(\Phi) \cdot I \text{)} \\ = det(\Phi) \cdot I \cdot m = 0\end{array}$$

Thus,

$$det(\Phi) \cdot I \cdot m = 0 :$$

$$\begin{pmatrix}det(\Phi) & 0 & \ldots & 0 \\ 0 & det(\Phi) & \ldots & 0 \\ \vdots & & & \\ 0 & 0 & \ldots & det(\Phi)\end{pmatrix} \cdot \begin{pmatrix}x_1 \\ x_2 \\ \vdots \\ x_n\end{pmatrix} = \begin{pmatrix}0 \\ 0 \\ \vdots \\ 0\end{pmatrix}$$

$\implies$

$$det(\Phi) \cdot x_i = 0 \quad \forall i \in [n] \tag{3}$$

ie. $det(\Phi)$ is an *annihilator* of the generators x_i of M, thus is an annihilator of the entire module M.

So, we're interested into calculating the $det(\Phi)$.

By the Leibniz formula,

$$\det(A) = \sum_{\sigma \in S_n} sgn(\sigma) \prod_{i=1}^{n} a_{i,\sigma(i)}$$

thus,

$$det(\Phi) = \underbrace{(\psi - a_{11})(\psi - a_{22}) \dots (\psi - a_{nn})}_{\text{diagonal of } \Phi\text{, leading term of the determinant}} - \dots$$

The *determinant trick* is that the terms that go after the "leading term of the determinant", will belong to $\mathfrak{a}$ and their combinations with ψ will not be bigger than ψ^n. Furthermore, when expanding it

- highest power is $1 \cdot \psi^n$
- coefficient of ψ^{n-1} is $-(\underbrace{a_{11} + a_{22} + \dots + a_{nn}}_{a_1})$,
  where, since each $a_{ii} \in \mathfrak{a}$, $\quad a_1 \in \mathfrak{a}$
- the rest of coefficients of ψ^k are also elements in $\mathfrak{a}$

Therefore we have

$$det(\Phi) = \psi^n + a_1\psi^{n-1} + a_2\psi^{n-2} + \dots + a_{n-1}\psi + a_n$$

with $a_i \in \mathfrak{a}$.

Now, notice that we had $det(\Phi) \cdot x_i = 0 \ \forall \ i \in [n]$.

The matrix Φ is the *characteristic matrix*, $xI - A$, viewed as an operator. Then,

$$det(\Phi) = det(xI - A) = p(x)$$

where $p(x)$ is the *characteristic polynomial*.

If a linear transformation turns every basis vector (x_i) into zero, then that transformation is the zero transformation. So in our case, $det(\Phi)$ is the zero transformation, thus $det(\Phi) = 0$. Therefore,

$$\psi^n + a_1\psi^{n-1} + a_2\psi^{n-2} + \dots + a_{n-1}\psi + a_n = 0$$

□

Corollary AM.2.5. Let M a fingen A-module, let $\mathfrak{a}$ an ideal of A such that $\mathfrak{a}M = M$.

Then, $\exists\ x \equiv 1 \pmod{\mathfrak{a}}$ such that $xM = 0$.

Proof. take $\psi =$ identity. Then in Cayley-Hamilton (AM.2.4):

$$\begin{aligned} &\psi^n + a_1\psi^{n-1} + a_2\psi^{n-2} + \ldots + a_{n-1}\psi + a_n = 0 \\ \Longrightarrow\ &id_M + a_1 id_M + a_2 id_M + \ldots + a_{n-1} id_M + a_n = 0 \\ \Longrightarrow\ &(1 + a_1 + \ldots + a_n) id_M = 0 \end{aligned}$$

apply it to $m \in M$, where since $id_M(m) = m$ (by definition of the identity), we then have

$$(1 + a_1 + \ldots + a_n) \cdot m = 0$$

with $a_i \in \mathfrak{a}$.

part i. $xM = 0$:
Thus the scalar $x = (1 + a_1 + \ldots + a_n)$ annihilates every $m \in M$, ie. the entire module M.

part ii. $x \equiv 1 \pmod{\mathfrak{a}}$:
$x \equiv 1 \pmod{\mathfrak{a}} \iff (x - 1) \in \mathfrak{a}$
then from $x = (1 + \underbrace{a_1 + \ldots + a_n}_{b}) \in \mathfrak{a}$, set $b = a_1 + \ldots + a_n$,
so that $x = (1 + b) \in \mathfrak{a}$.

Then $x - 1 = (1 + b) - 1 = b \in \mathfrak{a}$
so $x - 1 \in \mathfrak{a}$, thus $x \equiv 1 \pmod{\mathfrak{a}}$ as stated.

□

Proposition AM.2.6. Nakayama's lemma. Let M a fingen A-module, let $\mathfrak{a}$ an ideal of A such that $\mathfrak{a} \subseteq Jac(A)$.

Then $\mathfrak{a}M = M$ implies $M = 0$.

Proof. By AM.2.5: since $\mathfrak{a}M = M$, we have $xM = 0$ for some $x \equiv 1 \pmod{Jac(A)}$. (notice that at AM.2.5 is $\pmod{\mathfrak{a}}$ but here we use $\pmod{Jac(A)}$, since we have $\mathfrak{a} \subseteq Jac(A)$).

(recall AM.1.9: $x \in Jac(A)$ iff $(1 - xy)$ is a unit in A, $\forall y \in A$).
By AM.1.9, x is a unit in A (thus $x^{-1} \cdot x = 1$).

Hence $M = x^{-1} \cdot \underbrace{x \cdot M}_{=0 \text{ (by AM.2.5)}} = 0$.

Thus, if $\mathfrak{a}M = M$ then $M = 0$. □

Corollary AM.2.7. Let M a fingen A-module, let $N \subseteq M$ a submodule of M, let $\mathfrak{a} \subseteq Jac(A)$ an ideal.

Then $M = \mathfrak{a}M + N \overset{\text{implies}}{\Longrightarrow} M = N$.

Proof. The idea is to apply Nakayama (AM.2.6) to M/N.

Since M fingen $\implies$ M/N is fingen and an A-module.

Since $\mathfrak{a} \subseteq Jac(A) \implies$ Nakayama applies to M/N too.

By definition,

$$\mathfrak{a}M = \left\{ \sum a_i \cdot m_i \;\mid\; a_i \in \mathfrak{a}, m_i \in M \right\}$$

where m_i are the generators of M.

Then, for M/N,

$$\mathfrak{a}(\frac{M}{N}) = \left\{ \sum a_i \cdot (m_i + N) \;\mid\; a_i \in \mathfrak{a}, m_i \in M \right\}$$

observe that $a_i(m_i + N) = a_i m_i + N$, thus

$$\sum_i a_i \cdot (m_i + N) = \underbrace{(\sum_i a_i \cdot m_i)}_{\in \mathfrak{a}M} + N \in \mathfrak{a}M + N$$

Hence,

$$\mathfrak{a}(\frac{M}{N}) = \{x + N \;\mid\; x \in \mathfrak{a}M\} = \mathfrak{a}M + N \tag{4}$$

By definition, if we take $\frac{\mathfrak{a}M+N}{N}$, then

$$\frac{\mathfrak{a}M + N}{N} = \{y + N \;\mid\; y \in \mathfrak{a}M + N\} = \mathfrak{a}M + N$$

thus every $y \in \mathfrak{a}M + N$ can be written as

$$y = x + n, \quad \text{with } x \in \mathfrak{a}M,\ n \in N$$

which comes from (4).

Thus, $y + N = (x + n) + N = x + N$, since $n \in N$ is zero in the quotient.

Hence, every element of $\frac{\mathfrak{a}M+N}{N}$ has the form

$$\frac{\mathfrak{a}M + N}{N} = \{x + N \;\mid\; x \in \mathfrak{a}M\}$$

as in (4).

Thus

$$\mathfrak{a}(\frac{M}{N}) = \mathfrak{a}M + N = \frac{\mathfrak{a}M + N}{N} \tag{5}$$

By the Collorary assumption, $M = \mathfrak{a}M + N$; quotient it by N:

$$\frac{M}{N} = \frac{\mathfrak{a}M + N}{N} \tag{6}$$

So, from (5) and (6):

$$\mathfrak{a}(\frac{M}{N}) = \mathfrak{a}M + N = \frac{\mathfrak{a}M + N}{N} = \frac{M}{N}$$

thus, $\mathfrak{a}(\frac{M}{N}) = \frac{M}{N}$.

By Nakayama's lemma AM.2.6, if $\mathfrak{a}(\frac{M}{N}) = \frac{M}{N} \overset{implies}{\Longrightarrow} \frac{M}{N} = 0$

Note that

$$\frac{M}{N} = \{m + N \mid m \in M\}$$

(the zero element in $\frac{M}{N}$ is the coset $N = 0 + N$)

Then, $\frac{M}{N} = 0$ means that the quotient has exactly one element, the zero coset N.

Thus, every coset $m+N$ equals the zero coset N, so $m-0 \in N \implies m \in N$.

Hence every $m \in M$ lies in N, ie. $\forall m \in M,\ m \in N$.

So $M \subseteq N$. But notice that by the Corollary, we had $N \subseteq M$, therefore $M = N$.

Thus, if $M = \mathfrak{a}M + N \overset{implies}{\Longrightarrow} M = N$. □

Proposition AM.2.8. Let $x_i\ \forall i \in [n]$ be elements of M whose images $\frac{M}{mM}$ from a basis of this vector space. Then the x_i generate M.

Proof. Let N submodule M, generated by the x_i.

Then the composite map $N \longrightarrow M \longrightarrow \frac{M}{mM}$ maps N onto $\frac{M}{mM}$, hence $N + \mathfrak{a}M = M$, which by AM.2.7 implies $N = M$. □

2.3 Sequences

Definition R.2.9.a. Exact Sequence Let a sequence of homomorphisms

$$L \xrightarrow{\alpha} M \xrightarrow{\beta} N$$

It is *exact* at M if $im(\alpha) = ker(\beta)$.

ie. $\beta \circ \alpha = 0$ and α maps surjectively to $ker(\beta)$.

Definition R.2.9.b. Short Exact Sequence (s.e.s.)

$$0 \longrightarrow L \xrightarrow{\alpha} M \xrightarrow{\beta} N \longrightarrow 0$$

is exact $\iff L \subset M$ and $N = M/L$.

Properties:

- α injective
- β surjective
- $\alpha :\ L \Longrightarrow ker\beta$
- β induces $M/\alpha(L) \longrightarrow N$

Proposition R.2.10. Split exact sequence For the previous s.e.s., 3 equivalent conditions:

i. $\exists$ isomorphism $M \cong L \oplus N$, with

$$\alpha : m \longmapsto (m, 0)$$
$$\beta : (m, n) \longmapsto n$$

ii. $\exists$ a *section* of β, that is, a map $s : N \longrightarrow M$ such that $\beta \circ s = id_N$

iii. $\exists$ a *retraction* of α, that is, a map $r : M \longrightarrow L$ such that $r \circ \alpha = id_L$

If all i, ii, iii are satisfied, it is a split exact sequence.

Proof. Intuitively, when a s.e.s. *splits* it means that the middle module M is the direct sum of the other (outer) two modules, ie. $M = L \oplus N$.

(i to ii, iii) if $M \cong L \oplus N$ such that $\alpha : \ m \longmapsto (m, 0), \quad \beta : \ s(m, n) \longmapsto n$, we can define the maps

for ii:

$$s : \ N \longrightarrow L \oplus N$$
$$s(n) \longmapsto (0, n)$$

Then $\beta(s(n)) = \beta(0, n)$, so $\beta \circ s = id_N$.

for iii:

$$r : \ L \oplus N \longrightarrow L$$
$$r(m, n) \longmapsto m$$

Then $r(\alpha(m)) = r(m, 0)$, so $r \circ \alpha = id_L$.

(ii to i) assume $s : N \longrightarrow M$ such that $\beta \circ s = id_M$

Want to show $M \cong im(\alpha) \oplus im(s)$.

$\forall m \in M$, consider $m - s(\beta(m))$, apply β to it:
$\beta(m - s(\beta(m))) = \beta(m) - (\beta \circ s)(\beta(m)) = \beta(m) - \beta(m) = 0$

Since $ker(\beta) = im(\alpha), \ \ \exists! l \in L$ such that $\alpha(l) = m - s(\beta(m))$.

Thus $m = \alpha(l) + s(\beta(m))$.

Now, suppose $x \in im(\alpha) \cap im(s)$, then $x = \alpha(l) = s(n)$, apply β to it:
$\beta(\alpha(l)) = \beta(s(n)) \implies 0 = n$.

If $n = 0$, then $s(n) = 0$, so the intersection is $\{0\}$.

Define

$$\phi : L \oplus N \longrightarrow M$$
$$\phi(l, n) \longmapsto \alpha(l) + s(n)$$

This isomorphism satisfies the required conditions.

(iii to i) similar to the previous one.

Overview:

$$0 \longrightarrow L \underset{r}{\overset{\alpha}{\rightleftarrows}} \overset{M}{\cong} L \oplus N \underset{s}{\overset{\beta}{\rightleftarrows}} N \longrightarrow 0$$

$$\begin{aligned} \alpha : l &\longmapsto (l, 0) \\ r : (m, n) &\longmapsto m \\ \alpha \circ r &= id_L \\ \beta : (l, n) &\longmapsto n \\ s : n &\longmapsto (0, n) \\ \beta \circ s &= id_N \end{aligned}$$

□

3 Noetherian rings (and modules)

Definition . Ascending Chain Condition A partially orddered set Σ has the *ascending chain condition* (a.c.c.) if every chain

$$s_1 \leq s_2 \leq \ldots \leq s_k \leq \ldots$$

eventually breaks off, that is, $s_k = s_{k+1} = \ldots$ for some k.

$\Longrightarrow$ Σ has the a.c.c. iff every non-empty subset $S \subset \Sigma$ has a maximal element.

if $\neq S \subset \Sigma$ does not have a maximal element, choose $s_1 \in S$, and for each s_k, an element s_{k+1} with $s_k < s_{k+1}$, thus contradicting the a.c.c.

Definition R.3.2. Noetherian ring Let A a ring; 3 equivalent conditions:

i. the set Σ of ideals of A has the a.c.c.; in other words, every increasing chain of ideals

$$I_1 \subset I_2 \subset \ldots \subset I_k \subset \ldots$$

eventually stops, that is $I_k = I_{k+1} = \ldots$ for some k.

ii. every nonempty set S of ideals has a maximal element

iii. every ideal $I \subset A$ is finitely generated

If these conditions hold, then A is *Noetherian*.

Proof. TODO □

Definition R.3.4.D. Noetherian modules An A-module M is Noetherian if the submoles of M have the a.c.c.,
that is, ay increasing chain

$$M_1 \subset M_2 \subset \ldots \subset M_k \subset \ldots$$

of submodules eventually stops.

As in with rings, it is equivalent to say that

i. any nonempty set of modulesof M has a maximal element

ii. every submodule of M is finite

Proposition R.3.4.P. Let $0 \longrightarrow L \xrightarrow{\alpha} M \xrightarrow{\beta} N \longrightarrow 0$ be a s.e.s. (split exact sequence, R.2.10).

Then, M is Noetherian $\iff$ L and N are Noetherian.

Proof. $\Longrightarrow$: trivial, since ascending chains of submodules in L and N correspond one-to-one to certain chains in M.

$\Longleftarrow$: suppose $M_1 \subset M_2 \subset \ldots \subset M_k \subset \ldots$ is an increasing chain of submodules of M.

Then identifying $\alpha(L)$ with L and taking intersection gives a chain

$$L \cap M_1 \subset L \cap M_2 \subset \ldots \subset L \cap M_k \subset \ldots$$

of submodules of L, and applying β gives a chain

$$\beta(M_1) \subset \beta(M_2) \subset \ldots \beta(M_k) \subset \ldots$$

of submodules of N.

Each of these two chains eventually stop, by the assumption on L and N, so that we only need to prove the following lemma which completes the proof. □

Lemma R.3.4.L. for submodules $M_1 \subset M_2 \subset M$,

$$L \cap M_1 = L \cap M_2 \text{ and } \beta(M_1) = \beta(M_2) \implies M_1 = M_2$$

Proof. if $m \in M_2$, then $\beta(m) \in \beta(M_1) = \beta(M_2)$, so that there is an $n \in M_1$ such that $\beta(m) = \beta(n)$.

Then $\beta(m - n) = 0$, so that

$$m - n \in M_2 \cap ker(\beta) = M_1 \cap ker(\beta)$$

Hence $m \in M_1$, thus $M_1 = M_2$. □

Corollary R.3.5. Properties of Noetherian modules.

i. if $\forall i \in [r]$, M_i are Noetherian modules, then $\bigoplus_{i=1}^{r} M_i$ is Noetherian.

ii. if A a Noetherian ring, then an A-module M is Noetherian iff it is finite over A.

iii. if A a Noetherian ring, M a finite module, then any submodule $N \subset M$ is again finite.

iv. if A a Noetherian ring, and $\psi : A \longrightarrow B$ a ring homomorphism such that B is a finite A-module, then B is a Noetherian ring.

Proof. i. a direct sum $M_1 \oplus M_2$ is a particular case of an exact sequence.

Then, Proposition R.3.4.P proves this statement when $r = 2$. The case $r > 2$ follows by induction.

ii. if M finite, then $\exists$ surjective homomorphism

$$A^r \longrightarrow M \longrightarrow 0$$

for some r, so that M is a quotient

$$M \cong A^r/N$$

for some submodule $N \subset A^r$.

A^r is a Noetherian module by i., so M is Noetherian due Proposition R.3.4.P.

Conversely, M Noetherian implies M finite.

item as in previous implications:
M finite and A Noetherian $\Longrightarrow$ M is Noetherian,
$\Longrightarrow$ since $N \subseteq M$, then N is Noetherian too
$\Longrightarrow$ which implies that N is a finite A-module.

iii. B is Noetherian as an A-module; but ideals of B are submodules of B as an A-submodule, so that B is a Noetherian ring.

□

Theorem R.3.6. Hilbert basis theorem if A a Noetherian ring, then so is the polynomial ring $A[x]$.

Proof. Prove that any ideal $I \subset A[x]$ is fingen.
Define auxiliary sets $J_n \subset A$ by

$$J_n = \{a \in A \mid \exists f \in I \text{ s.th. } f = ax^n + b_{n-1}x^{n-1} + \dots b_0\}$$

ie. J_n is the set of leading coefficients of I of degree n.
J_n is an ideal, since I is an ideal.
$J_n \subset J_{n+1}$, since for $f \in I$ also $xf \in I$.
Therefore $J_1 \subset J_2 \subset \dots \subset J_k \subset \dots$ is an increasing chain of ideals.
Using the assumption that A is Noetherian, deduce that $J_n = J_{n+1}$ for some n.

For each $m \leq n$, $J_m \subset A$ is fingen, ie.

$$J_m = (a_{m,1}, \ldots a_{m,r_m})$$

By definition of J_m, for each $a_{m,j}$ with $1 \leq j \leq r_m$,
$\exists$ a polynomial $f_{m,j} \in I$ of degree m having the leading coefficient $a_{m,j}$.

$$\implies \{f_{m,j}\}_{m<n;1\leq j\leq r_m}$$

the set of elements of I.

Claim: this finite set $(\{f_{m,j}\})$ generates I.

$\forall f \in I$, if $\deg f = m$, then its leading coefficient is $a \in J_m$,

hence if $m \geq n$, then $a \in J_m = J_n$, so that

$$a = \sum b_i a_{n,i} \text{ with } b_i \in A$$

and

$$f - \sum b_i X^{m-n} \cdot f_{n,i}$$

has degree $< m$.

Similarly, if $m \leq n$, then $a \in J_m$, so that

$$a = \sum b_i a_{m,i} \text{ with } b_i \in A$$

and

$$f - \sum b_i f_{n,i}$$

has degree $< m$.

By induction on m, f can be written as a linear combination of finitely many elements.

Thus, any ideal of $A[x]$ is finitely generated. □

Corollary R.3.6.C. if A a Noetherian ring, and $\psi : A \longrightarrow B$ a ring homomorphism such that B is a fingen extension ring of $\psi(A)$, then B is Noetherian.

In particular, any fingen algebra over $\mathbb{Z}$ or over a field K is Noetherian.

Proof. the assumption is that B is a quotient of a polynomial ring,

$$B \cong A[x_1, \ldots, x_n]/I$$

for some ideal I.

By the Hilbert basis theorem R.3.6 and induction,
A being Noetherian implies that $A[x_1, \ldots, x_n]$ is Noetherian.

And by Corollary R.3.5(iv),
$A[x_1, \ldots, x_n]$ being Noetherian implies that $A[x_1, \ldots, x_n]/I$ is Noetherian. □

4 Finite ring extensions and Noether normalisation

4.1 A-algebras and integral domains

Definition . A-algebra. An A-algebra is a ring B with a ring homomorphism $\psi : A \longrightarrow B$.
B is an A-module with multiplication defined by $\psi(a) \cdot b \quad (a \in A, b \in B)$.
When $A \subset B$, B is an extenaion ring of A; denoted $\psi(A) = A' \subset B$.

Definition R.4.1. Let B be an A-algebra.

i. B is a *finite* A-algebra (*finite over* A) if it is finite as an A-module.

ii. $y \in B$ is *integral over* A if $\exists$ a monic polynomial

$$f(Y) = Y^n + a_{n-1}Y^{n-1} + \ldots + a_0 \ \in A'[Y]$$

such that $f(y) = 0$:

$$f(y) = y^n + a_{n-1}y^{n-1} + \ldots + a_0 = 0$$

The algebra B is *integral over* A if $\forall\ b \in B$ is integral.

Proposition R.4.2. Let $\psi : A \longrightarrow B$ be an A-algebra, and $y \in B$. Three equivalent conditions:

i. y is integral over A

ii. subring $A'[y] \subset B$ generated by $A' = \psi(A)$ and y is finite over A

iii. $\exists$ an A-subalgebra $C \subset B$ such that $A'[y] \subset C$ and C is finite over A

Notes: A' is the image of A in B, ie. $A' = \psi(A)$.
$A'[y]$ is the smallest subring of B containing both coefficients from A and the element y.

Proof. .

(i to ii): since y integral over $A \implies$ by R.4.1 (ii), y satisfies

$$f(y) = y^n + a_{n-1}y^{n-1} + \ldots + a_0 = 0$$

So any power $y^k \quad (k \geq n)$ can be expressed in terms of $\{1, y, y^2, \ldots, y^{n-1}\}$.
Thus the set $\{1, y, y^2, \ldots, y^{n-1}\}$ spans $A'[y]$ as an A-module.

(iii to i): since $A'[y] \subset C \implies y \in C$
since C finite over A, C has finite generators $\{c_1, \ldots, c_n\}$ such that $C = A \cdot c_1 + A \cdot c_2 + \ldots + A \cdot c_n$

Thus $y \cdot c_i \in C$,

$$y \cdot c_i = \sum_{j=1}^{n} a_{ij} c_j$$

with $a_{ij} \in A$.

By the Cayley-Hamilton theorem (AM.2.4),

$$y^n + a_{n-1} y^{n-1} + \ldots + a_1 y + a_0 = 0$$

Therefore, y is integral (by R.4.1 (ii)).

□

Proposition R.4.3. Tower Laws.
Let B be an A-algebra.

a. Transitivity of finiteness: if $A \subset B \subset C$ are extension rings such that C is a finite B-algebra and B a finite A-algebra,
then C is finite over A.

b. Finiteness of generated algebras: if $y_1, \ldots, y_m \in B$ are integral over A, then $A[y_1, \ldots, y_m]$ is finite over A.
In particular, every $f \in A[y_1, \ldots, y_m]$ is integral over A.

c. Transitivity of integrality: if $A \subset B \subset C$ with C integral over B, and B integral over A,
then C is integral over A.

d. Integral closure as a subring: the subset

$$\tilde{A} = \{y \in B \mid y \text{ is integral over } A\} \subset B$$

is a subring of B.

Moreover, if $y \in B$ is integral over $\tilde{A}$ then $y \in \tilde{A}$, so that $\tilde{\tilde{A}} = \tilde{A}$.

Proof. .

a. if $\{\beta_1, \ldots, \beta_n\}$ generate B as an A-module and $\{\gamma_1, \ldots, \gamma_n\}$ generate C as an B-module,
then the set of products $\{\beta_i \gamma_j\}$ generates C as an A-module.

Since there are $n \times m$ generators (ie. finite), C is finite over A.

b. proof by induction:

base case: if y_1 integral over $A \implies$ it satisfies a monic polynomial.

Thus $A[y_1]$ is generated as an A-module by $\{1, y_1, y_1^2, \ldots, y_1^{n-1}\}$, making it a finite A-algebra.

inductive step: let $R_k = A[y_1, \ldots, y_k]$. Assume R_k is finite over A.

Since y_{k+1} is integral over $A \implies$ it is also integral over R_k.

Thus $R_{k+1} = R_k[y_{k+1}]$ is finite over R_k.

Applying part (a) (transitivity of finiteness), if R_{k+1} is finite over R_k and R_k finite over A, then R_{k+1} is finite over A.

Consequence: since any $f \in A[y_1, \ldots, y_m]$ belongs to a finite A-algebra, f must be integral over A (since an element is integral iff it is contained in a finite extension).

c. let $x \in C$, since x integral over B, it satisfies:

$$x^n + b_{n-1}x^{n-1} + \ldots + b_1 x + b_0 = 0, \qquad b_i \in B$$

Let $B'' = A[b_0, b_1, \ldots, b_{n-1}]$. Since each $b_i \in B$ and B is integral over A
$\implies$ each b_i is integral over A.

Since all b_i are integral over $B' \implies B'[x]$ is a finite B'-algebra.

By part (a) (transitivity of finiteness), $B'[x]$ is a finite A-algebra.

Therefore, x is integral over A.

d. I. subring:
let $x, y \in \tilde{A}$. Want to show $x + y, xy \in \tilde{A}$:
by part (b), the algebra $A[x, y]$ is finite over A.
Since $x + y, xy \in A[x, y]$, they are integral over A.
Thus $x + y, xy \in \tilde{A}$, since $\tilde{A} = \{b \in B \mid b \text{ integral over } A\}$.

II. idempotence
let $z \in B$ be integral over $\tilde{A}$
we have a chain $A \subseteq \tilde{A} \subseteq \tilde{A}[x]$.
By definition, $\tilde{A}$ is integral over A, and z is integral over $\tilde{A}$
thus by part (c), z is integral over A.
Therefore, $z \in \tilde{A}$.

□

Definition 4.4. Integral closure. Given the ring $\tilde{A}$ from R.4.3.(d), ie. $\tilde{A} = \{y \in B \mid y \text{ integral over } A\} \subset B$, $\tilde{A}$ is the *integral closure* of A in B.

If $A = \tilde{A}$, then A is *integrally closed* in B.

An integral domain A is *normal* if it is *integrally closed in its field of fractions*, that is if

$$A = \tilde{A} \subset K = Frac(A)$$

For any integral domain A, the integral closure of A in its field of fractions $K = Frac(A)$ is also called the *normalization* of A.

4.2 Noether normalization

Definition 4.6. Algebraically independent. $y_1, \ldots, y_n \in A$ are *algebraically independent* over K if the natural surjection $K[Y_1, \ldots, Y_n] \longrightarrow K[y_1, \ldots, y_n]$ is an isomorphism.

$\implies \nexists\ F(y_1, \ldots, y_n) = 0$ (F nonzero) with coefficients in K.

Recall: a K-algebra A is fingen over K if $A = K[y_1, \ldots, y_n]$ for some finite set $y_1, \ldots, y_n$.

Lemma R.4.6.L. Let $A = K[y_1, \ldots, y_n]$ and $0 \neq F \in K[Y_1, \ldots, Y_n]$ such that $F(y_1, \ldots, y_n) = 0$.

Then $\exists\ y_1^*, \ldots, y_{n-1}^* \in A$ such that y_n is integral over

$$A^* = K[y_1^*, \ldots, y_{n-1}^*] \quad \text{and} \quad A = A^*[y_n]$$

Proof. (todo) □

Theorem R.4.6. Noether normalization lemma. Let K a field, A a fingen K-algebra.

Then $\exists\ z_1, \ldots, z_m \in A$ such that

i. $z_1, \ldots, z_m$ are algebraically independent over K

ii. A is finite over $B = K[z_1, \ldots, z_m]$

That is, a fingen extension $K \subset A$ can be written as a composite

$$K \subset B = K[z_1, \ldots, z_m] \subset A$$

where $K \subset B$ is a polynomial extension, and $B \subset A$ is finite.

Proof. induction on n.

if $n = 0$, nothing to prove since A is generated by 0 elements $\implies A = K$, and K is finite.

if $n > 0$ we have two cases:

- $y_1, \ldots, y_n$ are algebraically independent over K, then $A \cong K[y_1, \ldots, y_n]$, so that A is a finite module over itself.
- $y_1, \ldots, y_n$ are algebraically dependent over K,

$$\exists 0 \neq f \in K[y_1, \ldots, y_n] \text{ s.th } f(y_1, \ldots, y_n) = 0$$

Goal: is to change variables so that f becomes monic in one of the variables; this allows to express one generator as an integral element over the others.

Following from Lemma R.4.6.L, define new variables $y_1^*, \ldots, y_{n-1}^* \in A$ such that y_n is integral over

$$A^* = K[y_1^*, \ldots, y_{n-1}^*] \text{ and } A = A^*[y_n]$$

By inductive hypothesis on A^*, $\exists\, z_1, \ldots, z_m \in A^*$ algebraically independent over K and with A^* finite over $B = K[z_1, \ldots, z_m]$.

Since y_n integral over $A^* \implies A^*[y_n]$ is finite over A^*.
Therefore, each step of $B \subset A^* \subset A^*[y_n] = A$ is finite, and A is finite over B as required. □

Example . $A = K[X, Y]/(XY - 1)$. Y is algebraic over $K[X]$, but not integral over $K[Y]$.

This corresponds to the fact that the hyperbola $XY = 1$ has the line $X = 0$ as an asymptotic line (so that its projection to the X-axis misses a root over $X = 0$).

Take $X' = X - \epsilon Y$ as the element of A instead of X; then the relation becomes $(X' + \epsilon Y)Y = 1$, monic in Y if $\epsilon \neq 0$.

This corresponds geometrically to tilting the hyperbola a little before projecting, so that no longer has a vertical asymtotic line.

5 Exercises

For the exercises, I follow the assignments listed at [3].

The exercises that start with **R** are the ones from the book [2], and the ones starting with **AM** are the ones from the book [1].

5.1 Exercises Chapter 1

Exercise R.1.1. Ring A and ideals I, J such that $I \cup J$ is not an ideal. What's the smallest ideal containing I and J?

Proof. Take ring $A = \mathbb{Z}$. Set $I = 2\mathbb{Z},\ J = 3\mathbb{Z}$.

$I,\ J$ are ideals of $A\ (= \mathbb{Z})$. And $I \cup J = 2\mathbb{Z} \cup 3\mathbb{Z}$.

Observe that for $2 \in I,\ 3 \in J \implies 2, 3 \in I \cup J$, but $2 + 3 = 5 \notin I \cup J$.

Thus $I \cup J$ is not closed under addition; thus is not an ideal.

Smallest ideal of $\mathbb{Z}\ (= A)$ containing I and J is their sum:

$$I + J = \{a + b | a \in I, b \in J\}$$

$gcd(2, 3) = 1$, so $I + J = \mathbb{Z}$.

Therefore, smallest ideal containing I and J is the whole ring $\mathbb{Z}$. □

Exercise R.1.5. let $\psi : A \longrightarrow B$ a ring homomorphism. Prove that ψ^{-1} takes prime ideals of B to prime ideals of A.
In particular if $A \subset B$ and P a prime ideal of B, then $A \cap P$ is a prime ideal of A.

Proof. (Recall: prime ideal is if $a, b \in R$ and $a \cdot b \in P$ (with $R \neq P$), implies $a \in P$ or $b \in P$).

Let

$$\psi^{-1}(P) = \{a \in A | \psi(a) \in P\} = A \cap P$$

The claim is that $\psi^{-1}(P)$ is prime ideal of A.

i. show that $\psi^{-1}(P)$ is an ideal of A:
   $0_A \in \psi^{-1}(P)$, since $\psi(0_A) = 0_B \in P$ (since every ideal contains 0).
   If $a, b \in \psi^{-1}(P)$, then $\psi(a), \psi(b) \in P$, so

   $$\psi(a - b) = \psi(a) - \psi(b) \in P$$

   hence $a - b \in \psi^{-1}(P)$.
   If $a \in \psi^{-1}(P)$ and $r \in A$, then $\psi(ra) = \psi(r)\psi(a) \in P$, since P is an ideal. Thus $ra \in \psi^{-1}(P)$.
   $\implies$ so ψ^{-1} is an ideal of A.

ii. show that $\psi^{-1}(P)$ is prime:
   $\psi^{-1}(P) \neq A$, since if $\psi^{-1}(P) = A$, then $1_A \in \psi^{-1}(P)$, so $\psi(1_A) = 1_B \in P$, which would mean that $P = B$, a contradiction since P is prime ideal of B.

Take $a, b \in A$ with $ab \in \psi^{-1}(P)$; then $\psi(ab) \in P$, and since ψ is a ring homomorphism, $\psi(ab) = \psi(a)\psi(b)$.

Since P prime ideal, then $\psi(a)\psi(b) \in P$ implies either $\psi(a) \in P$ or $\psi(b) \in P$. Thus $a \in \psi^{-1}(P)$ or $b \in \psi^{-1}(P)$.

Hence $\psi^{-1}(P)$ $(= A \cap P)$ is a prime ideal of A.

□

Exercise R.1.6. prove or give a counter example:

a. the intersection of two prime ideals is prime

b. the ideal $P_1 + P_2$ generated by 2 prime ideals P_1, P_2 is prime

c. if $\psi : A \longrightarrow B$ ring homomorphism, then ψ^{-1} takes maximal ideals of B to maximal ideals of A

d. the map ψ^{-1} of Proposition 1.2 takes maximal ideals of A/I to maximal ideals of A

Proof. a. let $I = 2\mathbb{Z} = (2)$, $J = 3\mathbb{Z} = (3)$ be ideals of $\mathbb{Z}$, both prime.

Then $I \cap J = (2) \cap (3) = (6)$.

The ideal (6) is not prime in $\mathbb{Z}$, since $2 \cdot 3 \in (6)$, but $2 \neq (6)$ and $3 \neq (6)$.

Thus the intersection of two primes can not be prime.

b. $P_1 = (2),\ P_2 = (3)$, both prime.

Then,

$$P_1 + P_2 = (2) + (3) = \{a + b | a \in P_1, b \in P_2\}$$

$\longrightarrow$ in a principal ideal domain (like $\mathbb{Z}$), the sum of two principal ideals is again principal, and given by $(m) + (n) = (gcd(m, n))$.

(recall: principal= generated by a single element)

So, $P_1 + P_2 = (2) + (3) = (gcd(2, 3)) = (1) = \mathbb{Z}$.

The whole ring is not a prime ideal (by the definition of the prime ideal), so $P_1 + P_2$ is not a prime ideal.

Henceforth, the sum of two prime ideals is not necessarily prime.

c. let $A = \mathbb{Z},\ B = \mathbb{Q},\ \psi : A \longrightarrow B$.

Since $\mathbb{Q}$ is a field, its only maximal ideal is (0).

Then

$$\psi^{-1}((0)) = (0) \subset \mathbb{Z}$$
$$\text{ie. } \psi^{-1}(m_B) = (m_B) \subset A$$

But (0) is not maximal in $\mathbb{Z}$, because $\mathbb{Z}/(0) \cong \mathbb{Z}$ is not a field.

Thus the preimages of maximal ideals under arbitrary ring homomorphisms need not be maximal.

d. $\psi : A \longrightarrow A/I$ quotient homomorphism, $I \subseteq A$ an ideal.

Let M a maximal ideal of A/I, then $\frac{(A/I)}{M}$ is a field (Proposition 1.3).

By the isomorphism theorems,

$$\frac{(A/I)}{M} \cong \frac{A}{\psi^{-1}(M)}$$

Since $\frac{(A/I)}{M}$ is a field, the quotient $\frac{A}{\psi^{-1}(M)}$ is a field, so $\psi^{-1}(M)$ is a maximal ideal of A.

$\implies$ under ψ, preimages of maximal ideals are maximal.

□

Exercise R.1.12.a. if I, J ideals and P prime ideal, prove that

$$IJ \subset P \iff I \cap J \subset P \iff I \text{ or } J \subset P$$

Proof. assume $I \subseteq P$ (for $J \subseteq P$ will be the same, symmetric), take $x \in IJ$, then

$$x = \sum_{k=1}^{n} a_k b_k$$

with $a_k \in I,\ b_k \in J$.

Each $a_k \in I \subseteq P$. Since P an ideal,

$$\sum_{k=1}^{n} a_k b_k \in P$$

thus $x \in P$, hence $IJ \subseteq P$.

So $I \subseteq P$ or $J \subseteq P \implies IJ \subseteq P$.

Conversely,

assume P prime and $IJ \subseteq P$.

Suppose by contradiction that $I \nsubseteq P$ and $J \nsubseteq P$.

- since $I \nsubseteq P,\ \exists a \in I$ with $a \notin P$

- since $J \nsubseteq P,\ \exists b \in J$ with $b \notin P$

Since $a \in I,\ b \in J,\ \ ab \in IJ \subseteq P$, but P is prime, so $ab \in P$ implies that $a \in P$ or $b \in P$. This contradicts a, b being taken outside of P.

Thus $I \nsubseteq P$ and $J \nsubseteq P$ are false.

So both directions are proven, hence

$$IJ \subseteq P \implies I \subseteq P \text{ or } J \subseteq P$$

□

Exercise R.1.18. Use Zorn's lemma to prove that any prime ideal P contains a minimal prime ideal.

Proof. Let P prime ideal of R.

$$S = \{Q \subseteq R \mid Q \text{ a prime ideal AND } Q \subseteq P\}$$

Goal: show that S has a minimal element, the minimal ideal contained in P.

$P \subset S$, so S is nonempty.

Let $C \subseteq S$ be a chain (= totally ordered subset) with respect to inclusion.

Define

$$Q_C = \bigcap_{Q \in C} Q$$

Clearly $Q_C \subseteq P$, since each $Q \in C$ is $Q \subseteq P$.

Since C is ordered by inclusion, it is a decreasing chain of prime ideals.

Intersection of a decreasing chain of prime ideals is again a prime ideal:

- if $ab \in Q_C$, then $ab \in Q \; \forall Q \in C$
- since Q prime, $\forall Q \in C$ either $a \in Q$ or $b \in Q$

If there were some $Q_1,\ Q_2 \in C$ with $a \in Q_1$ and $b \notin Q_2$, then by total ordering, either $Q_1 \subseteq Q_2$ or $Q_2 \subseteq Q_1$.

In either case: contradiction, since the smaller one would have to contain the element that was assumed to be excluded.

Thus $\forall Q \in C$ the same element a, b must lie in all Q. $\implies$ lies in the intersection of them, Q_C.

Henceforth, Q_C is a prime ideal and lies in S, and its a lower bound of C in S.

Now, S is nonempty, and every chain in S has a lower bound in S (its intersection).

Therefore, S has a minimal element P_{min}.

By construction, P_{min} is a prime ideal $P_{min} \subseteq P$, and by minimality there are no strictly smaller prime ideals inside P.

So P_{min} is a minimal prime ideal, contained in P. □

Exercise R.1.10.

Proof. □

Exercise R.1.11.

Proof. □

Exercise R.1.4.

Proof. □

5.2 Exercises Chapter 2

Exercise R.2.9. $0 \longrightarrow L \xrightarrow{\alpha} M \xrightarrow{\beta} N \longrightarrow 0$ is a s.e.s. of A-modules. Prove that if N, L are finite over A, then M is finite over A.

Proof. Denote the generators of L and N respectively as

$$\{l_1, \ldots, l_k\} \subseteq L$$
$$\{n_1, \ldots, n_p\} \subseteq N$$

By s.e.s. definition,

- α is injective (one-to-one), so

$$\forall l_i \in L,\ \exists\ x_i \in M \text{ s.th. } \alpha(l_i) = x_i$$

- β is surjective (onto), so

$$\forall n_j \in N,\ \exists\ y_j \in M \text{ s.th. } \beta(y_j) = n_j$$

We will show that $\{x_1, \ldots, x_k, y_1, \ldots, y_p\}$ generate M, and thus M is finite:
Let $m \in M$, then $\beta(m) \in N$, and

$$\beta(m) = \sum_{j=1}^{p} a_j n_j \quad \text{with } a_j \in A$$

Take $m' \in M$, with $m' = \sum a_j y_j$, then

$$\beta(m') = \sum a_j \beta(y_j) = \sum a_j n_j = \beta(m)$$

Then, since $\beta(m) = \beta(m') \implies \beta(m - m') = 0$, thus

$$(m - m') \in ker(\beta)$$

By *exactness* property, since $\alpha : L \longrightarrow ker(\beta)$, we have $ker(\beta) = im(\alpha)$.
Therefore, $\exists\ l \in L$ such that $\alpha(l) = m - m'$.
Since $\{l_i\}_k$ generate L,

$$l = \sum^{k} b_i l_i$$

thus

$$m - m' = \alpha(l) = \alpha(\underbrace{\sum b_i l_i}_{l}) = \sum b_i \underbrace{\alpha(l_i)}_{x_i} = \sum b_i x_i$$

Rearrange,

$$m = m' + \sum b_i x_i = \sum_{j=1}^{p} a_j y_j + \sum_{i=1}^{k} b_i x_i \qquad \forall m \in M$$

So, L provides k generators for the kernel part of M, N provides p "lifts" for the quotient part of M; thus M is generated by $k + p$ elements.
Thus M is finitely generated over A. □

5.3 Exercises Chapter 3

Exercise R.3.2. K a field, $A \supset K$ a ring which is finite dimensional as a K-vector space. Prove that A is Noetherian and Artinian.

Proof. $dim(A) = n < \infty$, so every ideal $\mathfrak{a}$ of A is a K-subspace of A, because if $x \in \mathfrak{a}$ and $c \in K$, then $c \cdot x \in \mathfrak{a}$.

1. Noetherian:
   let $I_1 \subseteq I_2 \subseteq \ldots$ be an ascending chain of ideals in A.

   Since each I_i is a subspace, we have

   $$dim_K(I_1) \leq dim_K(I_2) \leq \ldots \leq n$$

   where at some $i = m$ we have $dim_K(I_m) = dim_K(I_{m+1})$; then since $I_m \subseteq I_{m+1}$, we have $I_m = I_{m+1}$. So A is Noetherian.

2. Artinian:
   Similarly, if $I_1 \supseteq I_2 \supseteq \ldots$ a descending chain of ideals in A.

   then

   $$n \geq dim_K(I_1) \geq dim_K(I_2) \geq \ldots \geq 0$$

   where at some $i = m$ we have $dim_K(I_m) = dim_K(I_{m+1})$; then since $I_m \subseteq I_{m+1}$, we have $I_m = I_{m+1}$. So A is Artinian.

□

Exercise R.3.5. Let $0 \longrightarrow L \xrightarrow{\alpha} M \xrightarrow{\beta} N \longrightarrow 0$ an exact sequence. Let $M_1, M_2 \subseteq M$ be submodules of M.
Prove if the following holds or not:

$$\beta(M_1) = \beta(M_2) \text{ and } \alpha^{-1}(M_1) = \alpha^{-1}(M_2) \implies M_1 = M_2$$

Proof. Counterexample showing that it does not hold:
Let K a field, $M = K \oplus K$, $L = K$, $N = K$.
Set, for $l \in L$, $(m_1, m_2) \in M$,

$$\alpha : l \longmapsto (l, 0)$$
$$\beta : (m_1, m_2) \longmapsto m_2$$

So we have

$$0 \longrightarrow K \xrightarrow{\alpha} K^2 \xrightarrow{\beta} K \longrightarrow 0$$

Then,

$$M_1 = \{(x, x) \mid x \in K\} \quad \sim \text{(diagonal line)}$$
$$M_2 = \{(0, x) \mid x \in K\} \quad \sim \text{(y-axis)}$$

(Geometric interpretation: M_1, M_2 are the *diagonal line* and *y-axis* respectively; and α, β capture information about the *vertical* components (x-axis,

y-axis respectively), but not about the *diagonal* way a submodule is embedded in M).

Then,

$$\beta(M_1) = \{x \mid x \in K\} = K$$
$$\beta(M_2) = \{x \mid x \in K\} = K$$

thus, $\beta(M_1) = \beta(M_2)$.

For M_1, $(l, 0) \in M$ iff $l = 0$, thus $\alpha^{-1}(M_1) = \{0\}$,
for M_2, $(l, 0) \in M$ iff $l = 0$, thus $\alpha^{-1}(M_2) = \{0\}$,
thus $\alpha^{-1}(M_1) = \alpha^{-1}(M_2)$.

So we've seen that

$$\beta(M_1) = \beta(M_2)$$
$$\alpha^{-1}(M_1) = \alpha^{-1}(M_2)$$

while having $M_1 \neq M_2$. □

Exercise R.3.3. Let A a ring, $I_1, \ldots, I_k$ ideals such that each A/I_i is a Noetherian ring. Prove that $\bigoplus A/I_i$ is a Noetherian A-module, and deduce that if $\bigcap I_i = 0$ then A is also Noetherian.

Proof. i. by Corollary R.3.5 (i), if M_i Noetherian modules, then $\bigoplus M_i$ is Noetherian. $\Longrightarrow$ thus $\bigoplus A/I_i$ is Noetherian.

ii. Take the canonical homomorphism

$$\phi : A \longrightarrow \bigoplus_{i=1}^{n} A/I_i$$

by $\phi(a) = (a + I_1, a + I_2, \ldots, a + I_n)$.

ϕ is injective: $ker(\phi) = \{a \in A | a \in I_i \forall i\}$.

Since we're given $\cap I_i = 0$, then $ker(\phi) = \cap I_i$, and ϕ is injective.

Thus, ϕ is the isomorphism $A \cong im(\phi)$, where $im(\phi)$ is an A-submodule of $\bigoplus A/I_i$.

We know that any submodule of a Noetherian module is Noetherian, thus, since

- A/I_i is Noetherian by hypothesis of the exercise
- $A \cong im(\phi)$
- $im(\phi)$ is an A-submodule of $\bigoplus A/I_i$

then, A is Noetherian.

□

Exercise R.3.4. Prove that if A is a Noetherian ring and M a finite A-module, then there exists an exact sequence $A^q \xrightarrow{\alpha} A^p \xrightarrow{\beta} M \longrightarrow 0$. That is, M has a presentation as an A-module in terms of finitely many generators and relations.

Proof. since M fingen $\implies$ generators $\{m_1, \ldots, m_2\} \subseteq M$ span M.

Let β be a surjective A-linear map, which forms a free A-module of rank p onto M:

$$\beta : A^p \longrightarrow M$$
$$(a_1, \ldots, a_p) \longmapsto \sum_{i=1}^{p} a_i m_i$$

Let $K = ker(\beta)$. By the 1st Isomorphism Theorem,

$$M \cong A^p / K$$

Since A is a Noetherian ring, then every free A-module of finite rank (eg. A^p) is a Noetherian module.

Every submodule of a Noetherian module is fingen.

$\implies$ since $K \subseteq A^p$, $\implies$ K $(= ker(\beta))$ is fingen.

Since K fingen, let $\{k_1, \ldots, l_q\}$ be generators of K.

Define $\psi : A^q \longrightarrow K$.

Compose it with the inclusion map $i : K \longrightarrow A^p$,

$$\alpha = i \circ \psi : \ A^q \longrightarrow A^p$$

So we have the whole sequence $A^q \xrightarrow{\alpha} A^p \xrightarrow{\beta} M \longrightarrow 0$, where

- β is surjective
- $im(\alpha) = ker(\beta)$

so that it is a exact sequence, thus, M has a finite presentation. □

References

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