### Notes on Nova

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#### February 2023

#### Abstract

Notes taken while reading Nova [1] paper.

Usually while reading papers I take handwritten notes, this document contains some of them re-written to LaTeX.

The notes are not complete, don't include all the steps neither all the proofs.

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# 1 Folding Scheme for Committed Relaxed R1CS

#### 1.1 R1CS modification

Want: merge 2 instances of R1CS with the same matrices into a single one. Each instance has  $z_i = (W_i, x_i)$  (public witness, private values resp.).

**traditional R1CS** Merged instance with  $z = z_1 + rz_2$ , for rand r. But, since R1CS is not linear  $\longrightarrow$  can not apply.

eg.

$$Az \circ Bz = A(z_1 + rz_2) \circ B(z_1 + rz_2)$$
  
=  $Az_1 \circ Bz_1 + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) + r^2(Az_2 \circ Bz_2)$   
\( \neq Cz

 $\longrightarrow$  introduce error vector  $E \in \mathbb{F}^m$ , which absorbs the cross-terms generated by folding.

 $\longrightarrow$  introduce scalar u, which absorbs an extra factor of r in  $Cz_1 + r^2Cz_2$  and in  $z = (W, x, 1 + r \cdot 1)$ .

#### Relaxed R1CS

$$u = u_1 + ru_2$$
  
 $E = E_1 + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1 - u_1Cz_2 - u_2Cz_1) + r^2E_2$   
 $Az \circ Bz = uCz + E$ , with  $z = (W, x, u)$ 

where R1CS set E = 0, u = 1.

$$Az \circ Bz = Az_1 \circ Bz_1 + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) + r^2(Az_2 \circ Bz_2)$$

$$= (u_1Cz_1 + E_1) + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) + r^2(u_2Cz_2 + E_2)$$

$$= u_1Cz_1 + \underbrace{E_1 + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) + r^2E_2}_{\text{E}} + r^1u_2Cz_2$$

$$= u_1Cz_1 + r^2u_2Cz_2 + E$$

$$= (u_1 + ru_2) \cdot C \cdot (z_1 + rz_2) + E$$

$$= uCz + E$$

For R1CS matrices (A, B, C), the folded witness W is a satisfying witness for the folded instance (E, u, x).

Problem: not non-trivial, and not zero-knowledge. Solution: use polynomial commitment with hiding, binding, succintness and additively homomorphic properties.

**Committed Relaxed R1CS** Instance for a Committed Relaxed R1CS  $(\overline{E}, u, \overline{W}, x)$ , satisfyied by a witness  $(E, r_E, W, r_W)$  such that

$$\begin{split} \overline{E} &= Com(E, r_E) \\ \overline{W} &= Com(E, r_W) \\ Az \circ Bz &= uCz + E, \quad where \ z = (W, x, u) \end{split}$$

#### 1.2 Folding protocol

V and P take two committed relaxed R1CS instances

$$\varphi_1 = (\overline{E}_1, u_1, \overline{W}_1, x_1)$$
  
$$\varphi_2 = (\overline{E}_2, u_2, \overline{W}_2, x_2)$$

P additionally takes witnesses to both instances

$$(E_1, r_{E_1}, W_1, r_{W_1})$$
  
 $(E_2, r_{E_2}, W_2, r_{W_2})$ 

Let 
$$Z_1 = (W_1, x_1, u_1)$$
 and  $Z_2 = (W_2, x_2, u_2)$ .

- 1. P send  $\overline{T} = Com(T, r_T)$ , where  $T = Az_1 \circ Bz_1 + Az_2 \circ Bz_2 u_1Cz_2 u_2Cz_2$  and rand  $r_T \in \mathbb{F}$
- 2. V sample random challenge  $r \in \mathbb{F}$
- 3. V, P output the folded instance  $(\overline{E}, u, \overline{W}, x)$

$$\overline{E} = \overline{E}_1 + r\overline{T} + r^2\overline{E}_2$$

$$u = u_1 + ru_2$$

$$\overline{W} = \overline{W}_1 + r\overline{W}_2$$

$$x = x_1 + rx_2$$

4. P outputs the folded witness  $(E, r_E, W, r_W)$ 

$$E = E_1 + rT + r^2 E_2$$

$$r_E = r_{E_1} + r \cdot r_T + r^2 r_{E_2}$$

$$W = W_1 + rW_2$$

$$r_W = r_{W_1} + r \cdot r_{W_2}$$

P uses a zkSNARK showing that knows the valid witness  $(E, r_E, W, r_W)$  for the committed relaxed R1CS without revealing its value. Then, vie Fiat-Shamir transform we achieve non-interactivity.

## 2 IVC proofs

WIP

### References

[1] Abhiram Kothapalli, Srinath Setty, and Ioanna Tzialla. Nova: Recursive zero-knowledge arguments from folding schemes. Cryptology ePrint Archive, Paper 2021/370, 2021. https://eprint.iacr.org/2021/370.